**Research Paper** 

# Integrated analysis and validation of the TRIM28-H2AX-CDK4 diagnostic model assists to predict the progression of HCC

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#### ABSTRACT

Hepatocellular carcinoma (HCC) is the second leading cause of cancer-related mortality in the world. However, identifying key genes that can be exploited for the effective diagnosis and management of HCC remains difficult. The study aims to examine the prognostic and diagnostic value of TRIM28-H2AX-CDK4 axis in HCC. Analysis in TCGA, GSEA and Gene expression profiling interactive analysis online tools were performed to explore the expression profiles of TRIM28, H2AX and CDK4. Data demonstrating the correlation between TRIM28 expression levels and immune infiltration states or the expression of genes associated with immune checkpoints genes were exacted from TCGA and TIMER. Genetic alteration and enrichment analysis were performed using the cBioPortal and GEPIA2 tools. Finally, the expression of these proteins in HCC was then examined and validated in an independent cohort using immunohistochemistry. TRIM28 alteration exhibited co-occurrence instead of mutual exclusivity with a large number of immune checkpoint components and tumor-infiltrating immune cells, especially B cells, were found to serve roles in patients with HCC with different TRIM28 expression levels. Higher expression levels of TRIM28, H2AX and CDK4 were associated with a poorer prognosis and recurrence in patients with HCC according to TCGA, which was validated further in an independent cohort of patients with HCC. Area under curve revealed the superior predictive power of applying this three-gene signatures in this validation cohort. The diagnostic model based on this TRIM28-H2AX-CDK4 signature is efficient and provides a novel strategy for the clinical management of HCC.

#### **INTRODUCTION**

Hepatocellular carcinoma (HCC) is a type of liver cancer and is one of a number of cancer types that has demonstrated a sustained increase in incidence over the past decade. HCC is now the second leading cause of cancer-associated mortality in the world [1]. The poor prognosis and high mortality rates of HCC are partly due to the dysregulation of cell cycle progression [2] and the lack of sufficient tools for early diagnosis and effective surveillance [3]. It remains difficult to identify key genes that can be applied for the clinical management of patients with HCC.

Post-translational modifications (PTMs) take place in most, if not all, physiological processes and are critical mechanisms for regulating protein function. It has been previously reported that PTMs are associated with several processes of cancer, including proliferation, invasion and metastasis, drug resistance and suppression of apoptosis [4]. The ubiquitin-proteasome system is one type of a PTM system that has been reported to serve key roles not only in targeted protein degradation by the proteasome but also in the regulation of protein-protein interactions and enzyme activation. Ubiquitination serves an important role in the degradation of proteins that regulate cell cycle progression, intracellular signaling, DNA repair, protein quality control, transcriptional regulation and oncogenesis [5]. It has been documented that the hepatitis virus C core protein can upregulate E12/E47 expression levels by their ubiquitin-dependent proteasomal inhibiting degradation, which facilitated tumor invasion, migration and metastasis of hepatitis virus C-induced HCC [6]. Ubiquitination can regulate either tumor-suppressing and oncogenic pathways mainly by using ubiquitin ligases in cancer cells [7]. Therefore, it would be of great importance to screen for and validate ubiquitin ligases that can potentially serve key roles in the development of HCC. They can then be applied as candidate markers for the construction of novel tools to predict the prognosis of patients with HCC.

There are now >80 known tripartite motif (TRIM) variants in humans [5]. Accumulating evidence suggests that TRIM-containing proteins can regulate important intracellular processes, including intracellular signaling, cell cycle progression, innate immunity, transcription, autophagy, cell proliferation and oncogenesis [8–10]. Alterations in TRIM proteins have been reported to result in a variety of distinct pathological conditions, such as cardiovascular diseases, neuropsychiatric disorders, immunological diseases, musculoskeletal diseases, chromosomal abnormalities, developmental disorders and cancer [8, 5, 11]. Since the majority of proteins in the TRIM family contain a RING-finger

domain, they are defined as E3 ubiquitin ligases [8]. It has been reported that TRIM serves important roles in the development of HCC. TRIM31 expression was found to be upregulated in HCC cell lines, which regulated the oncogenic mammalian target of rapamycin complex 1 pathway by promoting E3 ligase-mediated K48-linked ubiquitination and degradation [12]. In addition, upregulation of TRIM52 and TRIM28 expression was reported to promote HCC cell proliferation, migration and invasion [13, 14]. By contrast, TRIM16 can inhibit migration, invasion and epithelial-mesenchymal transition in HCC [15]. However, a systematic analysis of TRIM proteins should be performed to comprehensively characterize the role of TRIMs in HCC and to identify potential key TRIM genes in the HCC signaling hub from a holistic perspective.

In this study, data analysis in The Cancer Genome Atlas (TCGA), Gene Set Enrichment Analysis (GSEA) and Gene expression profiling interactive analysis, version 2 (GEPIA2) revealed that TRIM28 was the only TRIM gene that has exhibited increased expression levels in HCC and comprehensive association with the clinical outcomes of patients with HCC. We also evaluated the correlation of TRIM28 expression with various parameters of immune infiltration using Tumor Immune Estimation Resource (TIMER) database. Bioinformatics enrichment and univariate or multivariate Cox analysis found that phosphorylated histone H2A. X (H2AX) and cyclin-dependent kinase 4 (CDK4) are downstream functional targets of TRIM28. Combining TRIM28, H2AX and CDK4 expression with other clinical features, we also established a nomogram with capable of accurately predicting the outcomes of patients with HCC. Finally, we measured the expression levels and prognostic role of TRIM28, H2AX and CDK4 in an independent cohort of patients with HCC via IHC.

#### RESULTS

# Upregulated expression of TRIM28 is predictive of a poor prognosis in HCC

To compare the expression levels of TRIMs in HCC, five subsets of microarray data were extracted from the GEO database, including GSE39791 (Platform: GSE36411 (Platform: GPL10558), GPL10558), GSE45267 (Platform: GPL570), GSE69715 (Platform: GPL570) and GSE87630 (Platform: GPL6947) (Figure 1A). Principal Component analysis was then performed, which showed that the quality and feasibility of the data met the criterion for further analyses (Supplementary Figure 1A). By taking the intersection of these five databases, three potential TRIMs, TRIM3, TRIM6 and TRIM28 (P < 0.01) (Figure 1B) were found to be significantly higher in HCC tissues. Data from Oncomine database showed that TRIM6 and TRIM28 expression was significantly higher in HCC compared with that in non-cancerous liver tissues (Supplementary Figure 1B).

Subsequently, we assessed the TRIM3, TRIM6 and TRIM28 expression profile in normal and HCC tissues collected from TCGA and GEPIA2 dataset cohorts, where the results indicated that TRIM6 and TRIM28

expression was significantly increased in HCC tissues compared with that in normal tissues according to the TCGA database (Figure 1C, Supplementary Figure 1C). However, only the expression of TRIM28 was significantly higher in GEPIA2 (P < 0.05). In addition, significant differences were also found among the TRIM28 levels and tumor staging groups (P = 0.000186) according to the GEPIA2 datasets (Figure 1C). Therefore, we then focused on TRIM28 for further analyses.



**Figure 1. The expression levels of TRIMs protein with clinical features in HCC.** (A) Differential gene expression in HCC and normal tissues from GSE36411, GSE39791, GSE45267, GSE69715 and GSE87630 (blue: overexpression, red: down expression). (B) Venny diagrams was used to take the intersection three genes (TRIM3, TRIM6 and TRIM28) from above five datasets. (C) We analyzed the expression level of TRIM28 total protein between normal tissue and HCC tissue from TCGA, p < 0.001 (left). Based on the GEPIA 2 data, the TRIM28 expression levels was analyzed by main pathological stages (stage I, stage II, stage III, and stage IV) (right). Log2 (TPM + 1) was applied for log-scale. \*p < 0.05. (D) Relationship between TRIM28 expression and OS, DSS and PFS by Kaplan-Meier Plotter in HCC from TCGA databases.

As shown in Figure 1D, patients with HCC expressing higher levels of TRIM28 were associated with reduced OS, DSS and PFS compared with those with lower TRIM28 expression levels. Subsequently, data containing the required clinical characteristics of 374 patients with HCC were acquired from TCGA. TRIM28 overexpression was found to associate significantly with age, BMI, pathological staging, histological grade,  $\alpha$ foetalprotein (ng/ml) and higher TNM staging. The detailed clinicopathological features are shown in Table 1. These results suggest that TRIM28 can contribute to HCC progression.

## Relationship between methylation status and TRIM28 expression in HCC

DNA methylation is as an important mechanism of epigenetic modification that serves an important role in regulating gene expression. Since TRIM28 expression was found to be increased in HCC, we then assessed whether DNA methylation contributed to the regulation of TRIM28 expression. To assess the relationship between methylation status and TRIM28 expression in HCC, we first visualized 12 methylation sites (cg05663122, cg05025162, cg26981251, cg24109975, cg12528394, cg01339029, cg11909976, cg19476058, cg17840453, cg18397137, cg06180363 and cg05678175) in the DNA sequences of TRIM28, which were identified using MethSurv (Supplementary Table 1, Supplementary Figure 2A). We found that there was a negative correlation between TRIM28 expression and the methylation status of three methylation sites, cg11909976, cg19476058 and cg05678175 (Supplementary Table 1). Among these sites, only the methylation level of cg05678175 was significantly decreased in HCC (Supplementary Figure 2A). As shown in Supplementary Figure 2B, we found that higher TRIM28 expression was associated with its hypomethylation. Subsequent survival analyses also revealed that the hypomethylation of cg05678175 was positively associated with the OS of patients with HCC. These results suggest that cg05678175 is a potential functional methylation site on the TRIM28 gene.

# Relationship between the immune state and TRIM28 expression in HCC

Alterations of immune states have been suggested to be a typical feature in patients with HCC. Since TRIM28 was shown to be associated with the prognosis of HCC, we next investigated whether this phenomenon was at least partially attributed to changes to immune states. Using TCGA, we obtained the expression profiles of a series of genes associated with immune checkpoints that were found to be associated with TRIM28. As shown in Supplementary Table 2, programmed cell death protein-1

(PD-1), cytotoxic T lymphocyte antigen 4 (CTLA-4), T cell immunoglobulin and mucin domain-containing-3 (TIM3), T cell immunoreceptor with Ig and ITIM domains (TIGIT), CD8B, CD27, CD96, CD40 ligand (CD40LG) and TNF receptor superfamily member 4 (TNFRSF4) expression levels were upregulated, whilst adenosine A2a receptor (ADORA2A), CD33, killer cell lectin like receptor C1 (KLRC1), lymphocyte Activating 3 (LAG3), programmed death-ligand (PD-L1), Siglec 7 and Siglec 9 expression was downregulated in HCC. The values of these genes in predicting the various different survival outcome parameters are also shown in Supplementary Table 2. The general landscape showing the relationship between TRIM28 expression and immune checkpoint gene alterations in HCC was concisely visualized, including fusion, amplification, deep deletion, truncating and missense mutations (Supplementary Figure 3). The detailed relationship between TRIM28 expression and each representative immune checkpoint is individually presented in Supplementary Table 3. Of note, alterations in TRIM28 expression showed statistically significant co-occurrence instead of mutual exclusivity with a substantial quantity of immune checkpoints, such as Siglec 7, CD33 and Siglec 9.

Next, we conducted a series of gene expression analyses on the TIMER 2.0 database to evaluate the potential relationship between TRIM28 expression and immune infiltration in HCC. The analyses showed that alterations in TRIM28 copy numbers were associated with the degree of infiltration by several immune cell types, including CD4+ T cells, B cells, neutrophil and myeloid dendritic cells in HCC (Figure 2A). In addition, we further analysed the impact of immune infiltration on the clinical prognosis of patients with HCC, which showed that increased filtration by CD4+ T cells, macrophages and neutrophils were associated with a poorer prognosis in patients with HCC. Their survival period was shown to be <24 months (Figure 2B).

We next evaluated the correlation between TRIM28 expression and macrophage, T cells, B cells, neutrophil or dendritic cell infiltration using TIMER and TCGA. The results showed that the expression of TRIM28 was positively correlated with macrophage, T cells and B cell infiltration according to both TCGA and TIMER databases (Figure 2C, 2D). To further explore the relationship between TRIM28 and immune-infiltrating cells, we also validated the association of TRIM28 with a set of immune biomarkers representing seven different immune cell types. CD8A, CD8B, CD19, CD79A, interferon regulatory factor 5, integrin subunit  $\alpha$  M, human leukocyte antigen (HLA)-DPB1, HLA-DQB1, HLA-DRA, HLA-DPA1, CD1C, neuropilin 1 and integrin subunit  $\alpha$ X were found to be significantly

$\begin{array}{ c c c c c c c c c c c c c c c c c c c$				TR	TRIM28				
n         374         187         187           T stage, $n$ (%)         T1         183 (493%)         112 (302%)         71 (19,1%)         <0.001         18.9         Chisq.test           T2         95 (25.6%)         37 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         57 (10%)         58 (15.6%)         58 (12.6%) </th <th>Characteristic</th> <th>Levels</th> <th>Overall</th> <th>Low expression</th> <th>High expression</th> <th>- p</th> <th>Statistic</th> <th colspan="2">Statistic Method</th>	Characteristic	Levels	Overall	Low expression	High expression	- p	Statistic	Statistic Method	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	n		374	187	187				
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	T stage, $n$ (%)	T1	183 (49.3%)	112 (30.2%)	71 (19.1%)	< 0.001	18.9	Chisq.test	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		T2	95 (25.6%)	37 (10%)	58 (15.6%)			1	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		Т3	80 (21.6%)	30 (8.1%)	50 (13.5%)				
$ \begin{array}{l c c c c c c c c c c c c c c c c c c c$		T4	13 (3.5%)	6(1.6%)	7 (1.9%)				
	N stage, $n(\%)$	N0	254 (98.4%)	118 (45.7%)	136 (52.7%)	0.627		Fisher.test	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $		N1	4 (1.6%)	1 (0.4%)	3 (1.2%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	M stage, $n(\%)$	MO	268 (98.5%)	124 (45.6%)	144 (52.9%)	1.000		Fisher.test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		M1	4 (1.5%)	2 (0.7%)	2 (0.7%)				
	Pathologic stage $n$ (%)	Stage I	173 (49.4%)	104 (29.7%)	69 (19.7%)	< 0.001		Fisher test	
		Stage II	87 (24.9%)	36 (10.3%)	51 (14.6%)				
		Stage III	85 (24.3%)	31 (8.9%)	54 (15.4%)				
		Stage IV	5(1.4%)	3 (0.9%)	2 (0.6%)				
	Tumor status $n(\%)$	Tumor free	202 (56.9%)	108(30.4%)	94 (26 5%)	0 146	2 11	Chisa test	
	Tumor status, n (70)	With tumor	153(43.1%)	69 (19 4%)	84 (23.7%)	0.140	2.11	emisq.test	
	Gender $n(\%)$	Female	121 (32.4%)	56 (15%)	65(17.4%)	0 377	0.78	Chisa test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		Male	253 (67.6%)	131 (35%)	122 (32.6%)	0.577	0.70	emisq.test	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	$\Lambda g_{\Theta} n (\%)$	<60	233(07.07) 177(47.5%)	75(20.1%)	122(32.0%) 102(27.3%)	0.006**	7 54	Chica test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Age, $n(n)$	<u>~</u> 60	177(47.5%) 106(52.5%)	112(20.1%)	84(22.5%)	0.000	7.54	Chisq.test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	<b>DMI</b> $m(0/)$	>00 ~25	170(52.5%)	112(30%)	04(22.3%)	0.024*	4 5 1	China tost	
Residual tumor, $n$ (%)R0 R1222 (10, 47, 5%)100 (47, 5%) (10, 447, 5%)163 (47, 2%) (10, 3%)1.000Fisher test Fisher testR117 (4.9%)9 (2.6%)8 (2.3%)1.000Fisher testR21 (0.3%)1 (0.3%)0 (0%)18.11Chisq. testG3124 (33, 6%)45 (12.2%)79 (21.4%)75 (20.3%)G412 (3.3%)4 (1.1%)8 (2.2%)Adjacent hepatic tissue inflammation, $n$ (%)None118 (49.8%)74 (31.2%)44 (18.6%)0.1264.15Chisq. testMild01 (42.6%)50 (21.1%)53 (18.9%)53 (18.9%)540053 (18.9%)53 (18.9%)5400AFP (ng/ml), $n$ (%) $\leq 400$ 215 (76.8%)135 (48.2%)80 (28.6%)<0.001	$\mathbf{BMI}, n (\%)$	≥2.3 > 25	177(32.5%)	78 (25.1%)	99 (29.4%) 70 (20.8%)	0.034	4.31	Chisq.test	
Restaul timor, $n$ (%)       R0 $52/$ (94.8%)       104 (47.5%)       105 (47.2%)       10.00       Fisher/test         R1       17 (4 (9%)       9 (2.6%)       8 (2.3%)       10.00%)       8 (2.3%)         Histologic grade, $n$ (%)       G1       55 (14.9%)       34 (9.2%)       21 (5.7%)       <0.001       18.11       Chisq.test         G2       178 (48.2%)       103 (27.9%)       75 (20.3%)       0.126       4.15       Chisq.test         Adjacent hepatic tissue inflammation, $n$ (%)       None       118 (49.8%)       74 (31.2%)       44 (18.6%)       0.126       4.15       Chisq.test         Mild       101 (42.6%)       50 (21.1%)       51 (21.5%)       Sovere       18 (7.6%)       93 (38%)       9 (38.%)       0.126       4.15       Chisq.test         AFP (ng/ml), $n$ (%) $\leq 400$ 65 (23.2%)       12 (4.3%)       53 (18.9%)            Albumin (g/dl), $n$ (%) $\leq 3.5$ C 231 (77%)       125 (41.7%)       106 (35.3%)            Prothrombin time, $n$ (%)       A       219 (90.9%)       121 (50.2%)       98 (0.7%)       0.619       0.25       Chisq.test         Mild       210 (43.6%)       10 (4.1%)	$\mathbf{D}$	>23	100(47.5%)	90 (26.7%)	70 (20.8%)	1 000		E'shaadaad	
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Histologic grade, $n$ (%)G152 (10.5%) (10.5%)10(0.5%) (10.2%)0108 (27.9%) (10.2%) $< 0.001$ 18.11Chisq.test (18.11)Histologic grade, $n$ (%)G155 (14.9%)133 (27.9%)75 (20.3%) $< 0.001$ 18.11Chisq.testG3124 (33.6%)45 (12.2%)79 (21.4%) $< 0.021$ $< 0.001$ 18.11Chisq.testAdjacent hepatic tissue inflammation, $n$ (%)None118 (49.8%)74 (31.2%)44 (18.6%) $0.126$ 4.15Chisq.testMild101 (42.6%)50 (21.1%)51 (21.5%) $< 0.001$ 37.57Chisq.testSevere18 (7.6%)9 (3.8%)90 (3.8%) $< 0.001$ 37.57Chisq.test $> 400$ 215 (76.8%)135 (48.2%)53 (18.9%) $< 0.001$ 37.57Chisq.test $> 23.5$ 231 (77%)125 (41.7%)106 (53.3%) $0.619$ $0.25$ Chisq.test $> 23.5$ 231 (77%)125 (41.7%)38 (12.8%) $0.726$ Fisher.test $> Prothrombin time, n (%)A219 (90.9%)121 (50.2%)98 (40.7%)0.726Fisher.test> 0C10 (44.9%)10 (41.9%)11 (4.6%)0.076< 1.37Chisq.test> 24208 (056.4%)116 (36.5%)92 (28.9%)0.2411.37Chisq.test> 24208 (65.4%)116 (36.5%)92 (28.9%)0.076< 8.8Chisq.test> 24208 (55.4%)116 (36.5%)92 (28.9%)0.2411.37Chisq.test$		RI D2	17 (4.9%)	9 (2.6%)	8 (2.3%)				
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$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Histologic grade, n (%)	GI	55 (14.9%)	34 (9.2%)	21 (5.7%)	<0.001	18.11	Chisq.test	
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Adjacent hepatic tissue inflammation, $n$ (%)None118 (49.8%)74 (31.2%)44 (18.6%)0.1264.15Chisq.testMild101 (42.6%)50 (21.1%)51 (21.5%)Severe18 (7.6%)9 (3.8%)9 (3.8%)AFP (ng/ml), $n$ (%) $\leq 400$ 215 (76.8%)135 (48.2%)80 (28.6%)<0.001		G3	124 (33.6%)	45 (12.2%)	79 (21.4%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		G4	12 (3.3%)	4 (1.1%)	8 (2.2%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Adjacent hepatic tissue inflammation, <i>n</i> (%)	None	118 (49.8%)	74 (31.2%)	44 (18.6%)	0.126	4.15	Chisq.test	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		Mild	101 (42.6%)	50 (21.1%)	51 (21.5%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		Severe	18 (7.6%)	9 (3.8%)	9 (3.8%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	AFP (ng/ml), <i>n</i> (%)	≤400	215 (76.8%)	135 (48.2%)	80 (28.6%)	< 0.001	37.57	Chisq.test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		>400	65 (23.2%)	12 (4.3%)	53 (18.9%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Albumin (g/dl), $n$ (%)	<3.5	69 (23%)	39 (13%)	30 (10%)	0.830	0.05	Chisq.test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		≥3.5	231 (77%)	125 (41.7%)	106 (35.3%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Prothrombin time, $n$ (%)	≤4	208 (70%)	111 (37.4%)	97 (32.7%)	0.619	0.25	Chisq.test	
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		>4	89 (30%)	51 (17.2%)	38 (12.8%)				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Child-Pugh grade, n (%)	А	219 (90.9%)	121 (50.2%)	98 (40.7%)	0.726		Fisher.test	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$		В	21 (8.7%)	10 (4.1%)	11 (4.6%)				
Vascular invasion, $n$ (%)No208 (65.4%)116 (36.5%)92 (28.9%)0.2411.37Chisq.testYes110 (34.6%)53 (16.7%)57 (17.9%)57 (17.9%)0.0766.88Chisq.testFibrosis ishak score, $n$ (%)075 (34.9%)48 (22.3%)27 (12.6%)0.0766.88Chisq.test1/231 (14.4%)16 (7.4%)15 (7%)15 (7%)3/428 (13%)10 (4.7%)18 (8.4%)OS event, $n$ (%)Alive244 (65.2%)132 (35.3%)112 (29.9%)0.039*4.26Chisq.testDead130 (34.8%)55 (14.7%)75 (20.1%)DSS event, $n$ (%)Alive287 (78.4%)147 (40.2%)140 (38.3%)0.3360.92Chisq.testDead79 (21.6%)35 (9.6%)44 (12%)Chisq.testPFI event, $n$ (%)Alive191 (51.1%)102 (27.3%)89 (23.8%)0.2141.54Chisq.testDead183 (48.9%)85 (22.7%)PER event, $n$ (%)Alive191 (51.1%)102 (27.3%)89 (23.8%)0.2141.54Chisq.test		С	1 (0.4%)	1 (0.4%)	0 (0%)				
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Fibrosis ishak score, n (%)	0	75 (34.9%)	48 (22.3%)	27 (12.6%)	0.076	6.88	Chisq.test	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		1/2	31 (14.4%)	16 (7.4%)	15 (7%)			-	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		3/4	28 (13%)	10 (4.7%)	18 (8.4%)				
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Dead       79 (21.6%)       35 (9.6%)       44 (12%)         PFI event, $n$ (%)       Alive       191 (51.1%)       102 (27.3%)       89 (23.8%)       0.214       1.54       Chisq.test         Dead       183 (48.9%) $85 (22.7\%)$ 98 (26.2%)       98 (26.2%)	DSS event. $n(\%)$	Alive	287 (78.4%)	147 (40.2%)	140 (38.3%)	0.336	0.92	Chisa test	
PFI event, $n$ (%)       Alive       191 (51.1%)       102 (27.3%)       89 (23.8%)       0.214       1.54       Chisq.test         Dead       183 (48.9%)       85 (22.7%)       98 (26.2%)		Dead	79 (21.6%)	35 (9.6%)	44 (12%)			294.0000	
Dead $183 (48.9\%) 85 (22.7\%) 98 (26.2\%)$	PFI event. $n(\%)$	Alive	191 (51.1%)	102 (27.3%)	89 (23.8%)	0.214	1.54	Chisa test	
103(70.707) 03(22.707) 70(20.207)		Dead	183 (48.9%)	85 (22.7%)	98 (26.2%)				

Table 1. Correlation betwee	n TRIM28 ex	pression and clinico	pathological	characteristics of HCCs.

 $^{*}p < 0.05$ ,  $^{**}p < 0.01$ . Abbreviations: Fisher.test: fisher exact test; Chisq.test: Chi-square test.

correlated with TRIM28 expression in HCC (Table 2). We also performed enrichment analysis in the TCGA database using seGSEA, which found that increased B cell infiltration was observed in HCC with higher levels of TRIM28 expression (Figure 2E). Taken together, tumor-infiltrating immune cells, especially B cells, may serve influential roles in the clinical outcomes of patients with HCC with different levels of TRIM28 expression.



**Figure 2. Correlation between immune cell infiltration and TRIM28 in HCC.** (A) The quantity of immune cells (T cell CD8, T cell CD4, B cell, Macrophage, Neutrophil and Myeloid dendritic cell) in HCC with altered TRIM28 copy numbers from TIMER 2.0 database. (B) Kaplan-Meier plots were used to analyze the TRIM28-binding immune infiltration and overall survival rate of HCC. Among these, T cell CD4 (0.036), Macrophage (0.007) and Neutrophil (0.01) markedly positively correlated with infiltrating levels. (**C**, **D**). Immune cells levels were showed in a heatmap and lollipop diagrams from TCGA. (E) The immune genes associated TRIM28 expression level analysis from TCGA. Log2 (TPM + 1) was applied for log-scale. \*p < 0.05; \*\*\*p < 0.001. Abbreviation: ns: no significant.

Table 2. Correlation	n between	TRIM28	and	Biomarker	of HCC.
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Immune cell	Biomarker	<b>R-value</b>	<i>P</i> -value
$CD^{0} + T = 11$	CD8A	0.16	0.0022
CD8+ I cell	CD8B	0.21	< 0.001
CD4+ T cell	CD4	0.092	0.079
D coll	CD19	0.26	< 0.001
D Cell	CD79A	0.21	< 0.001
	NOS2	-0.12	0.02
M1 maaranhaga	IRF5	0.31	< 0.001
MT macrophage	PTGS2	0.096	0.065
	CD80	0.018	0.734
	CD163	0.053	0.31
M2 macrophage	VSIG4	0.062	0.24
	MS4A4A	0.054	0.3
	CEACAM8	0.046	0.38
Neutrophil	ITGAM	0.2	< 0.001
	CCR7	0.065	0.21
	HLA-DPB1	0.19	< 0.001
	HLA-DQB1	0.16	0.002
	HLA-DRA	0.14	0.008
Dendritic cell	HLA-DPA1	0.13	0.013
	CD1C	0.2	< 0.001
	NRP1	0.3	< 0.001
	ITGAX	0.29	< 0.001

### TRIM28/H2AX/CDK4 axis is involved in the poor prognosis of patients with HCC

To predict the potential function of TRIM28, we performed interaction and correlation analyses between TRIM28 expression and other candidate genes in HCC using the cBioPortal and GEPIA2 databases. We conducted a joint analysis in the high-TRIM28 and low-TRIM28 expression groups. The top 50 genes showing positive association with TRIM28 expression were presented in a heatmap and a circos plot as three clusters using Kmeans clustering (Figure 3A, 3B and Supplementary Figure 4A). We subsequently found four candidate genes, chaperonin containing TCP1 subunit (CCT)2, CCT7, H2AX and SWI/SNF-related, matrixassociated, actin dependent regulator of chromatin (SMARC), subfamily A, member 4, from the intersection of the top 50 genes (Figure 3C).

As a result, we analyzed the expression profile of CCT2, CCT7, H2AX and SMARCA4 from the GSE39791, GSE36411, GSE45267, GSE69715 and GSE87630 datasets. H2AX and SMARCA4 expression was significantly higher according to the GSE45267 dataset (Figure 4A), but there were no differences in the GSE39791, GSE36411, GSE69715 and GSE87630 datasets (Supplementary Figure 4A). In order to find the target gene of TRIM28 more accurately, we also

analyzed the expression data of CCT2, CCT7, H2AX and SMARCA4 in a dataset obtained from TCGA, which found that only H2AX expression was upregulated in HCC (Figure 4A). Subsequently, we also confirmed that there was a strongly positive correlation between TRIM28 and H2AX expression in HCC according to the TCGA dataset (Figure 4B). To evaluate the value of TRIM28 or H2AX expression for predicting the prognosis of patients with cancer, the association between TRIM28 or H2AX expression and OS, PFS and DSS were analyzed in the same Kaplan Meier-Plotter cohort. Higher TRIM28 or H2AX expression was significantly associated with decreased OS, RFS, PFS and DSS in patients with HCC (Figure 1D, Supplementary Figure 4B). These results suggest that the TRIM28/H2AX axis is involved in HCC tumor progression to result in the poor prognosis of patients with HCC.

To further unravel the downstream signaling pathways of TRIM28 and H2AX, we used cBioPortal and found that the p53, TGF- $\beta$ , Wnt, mitogen-activated protein kinase and oxytocin receptor-mediated signaling pathways were associated with TRIM28 and H2AX expression (Supplementary Figure 5A). By comparing the top five genes that were associated with TRIM28 and H2AX expression, we found that four genes, CDK4, SMARCD1, SMARCB1 and Bcl-2-associated X, apoptosis regulator (BAX), were potentially correlated with TRIM28 and H2AX expression (Supplementary Figure 5B). We first found that high levels of CDK4, SMARCD1, SMARCB1 and BAX expression were associated with a reduced OS in patients with HCC (Supplementary Figure 6A). Using Spearman's correlation analysis, we noted that there was a strong correlation between TRIM28 or H2AX expression and



**Figure 3. TRIM28-related interaction and correlation gene enrichment analysis in HCC.** (A, B) We obtained the TRIM28-binding top 50 proteins using the STRING tool (three different colors using Kmeans clustering), (A) the TRIM28-binding interaction genes from cBioPortal data, (B) the TRIM28-binding correlation genes from Gephia 2 data. The corresponding circos plot data in the detailed genes are displayed. (C) Venn diagrams was used to conduct the interaction analysis of the TRIM28-binding and correlated four genes (CCT2, CCT7, H2AX and SMARCA4).

the expression these four candidate genes in HCC according to TCGA (Supplementary Figure 6B). We then analyzed the association between the expression of these genes and patient prognosis using univariate or multivariate Cox analysis in patients with HCC (Tables 3.1–3.3 and Supplementary Tables 4–15). Univariate Cox analysis demonstrated that higher expression levels of CDK4, SMARCD1, SMARCB1 and BAX were significantly associated with reduced OS. However, only the expression of three genes (CDK4, SMARCD1 and SMARCB1) were significantly associated with DSS and only CDK4 and SMARCD1 were significantly associated with PFS (Supplementary Tables 1–12). Multivariate Cox analysis confirmed that CDK4 overexpression was an independent risk factor for reduced survival in patients with HCC (Tables 3.1-3.3). Therefore, we speculated that the TRIM28/H2AX/CDK4 axis may serve a crucial role in HCC.

#### Construction and validation of the TRIM28/H2AX/ CDK4 diagnostic model in HCC

We conducted Receiver Operating Characteristic (ROC) curve analysis of TRIM28, H2AX and CDK4 to evaluate their diagnostic value in patients with HCC. The Area under the receiver operating characteristic curve (AUC) was calculated to be 0.945, 0.945 and 0.940 for TRIM28, H2AX and CDK4, respectively (Figure 5A). Subgroup analysis also demonstrated the diagnostic value of TRIM28/H2AX/CDK4 in various important clinical characteristics of HCC, with AUC values of 0.925, 0.932 and 0.929 for T1, respectively; 0.965, 0.959 and 0.926 for stage I, respectively; 0.926, 0.938 and 0.949 for stage II/III/IV, respectively (Figure 5A).

Based on clinicopathological factors, we constructed a prognostic nomogram with the aim of providing a quantitative analytical tool that can be used to predict the 1-, 2-, or 4-year OS, DSS and PFS rate of individual patients by combining the expression levels of TRIM28/H2AX/CDK4 in the entire TCGA cohort. The calibration curves of the nomogram showed consistencies between the predicted and observed OS/DSS/PFS probabilities (Figure 5B).

To comprehensively evaluate the clinical significance of TRIM28, H2AX and CDK4 expression in patients with HCC, we analyzed the expression levels of TRIM28, H2AX and CDK4 in a set of HCC tissue microarrays using IHC staining. In 90 pairs of primary HCC tissue samples and corresponding adjacent noncancerous tissue samples, the staining intensities of TRIM28, H2AX and CDK4 were found to be significantly higher in the HCC tissues (Figure 6A, 6B). Additionally, we found that TRIM28 is positively correlated with H2AX and CDK4 expression (Figure 6C), which is similar to the results obtained using data from TCGA. In these 90 HCC cases containing the clinicopathological information, the staining intensities of TRIM28, H2AX and CDK4 in tissues from latestage HCC (stage III) were stronger compared with those from early-stage HCC (stage I and II). In addition, their expression in tissues at stages II and III according to American Joint Committee on Cancer were higher compared with those at stage I. Their expression levels were also found to associate with T, N and M staging (Supplementary Tables 16-18). Univariate analysis showed that TRIM28, H2AX and CDK4 expression were significant independent factors for OS and PFS (Supplementary Tables 19 and 20). Subsequently, multivariate analysis revealed that the expression of CDK4 was an



**Figure 4. Correlation between TRIM28/H2AX/CDK4 and clinicopathological characteristics of HCC.** (A) An overview of CCT2, CCT7, H2AX and SMARCA4 expression levels in HCC from GSE45267 and TCGA (blue: overexpression, red: down expression, Abbreviation: ns: no significant. (B) Correlation analysis between TRIM28 and H2AX expression in HCC from TCGA data.

Characteristics	Total	Univariate analy	vsis	Multivariate analysis		
	(N)	HR (95% CI)	P-value	HR (95% CI)	P-value	
T stage (T2 & T3 & T4 vs. T1)	370	2.126 (1.481-3.052)	<0.001	0.489 (0.062–3.894)	0.500	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.090 (1.429-3.055)	<0.001	3.710 (0.455–30.223)	0.221	
Age (>60 vs. ≤60)	373	1.205 (0.850–1.708)	0.295	1.579 (0.970–2.571)	0.066	
BMI (>25 vs. ≤25)	336	0.798 (0.550–1.158)	0.235	1.143 (0.703–1.859)	0.590	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.091 (0.761–1.564)	0.636	1.513 (0.897–2.550)	0.120	
AFP(ng/ml) (>400 vs. ≤400)	279	1.075 (0.658–1.759)	0.772	0.730 (0.392–1.359)	0.321	
TRIM28 (High vs. Low)	373	1.591 (1.122–2.257)	0.009	1.198 (0.632–2.269)	0.580	
H2AX (High vs. Low)	373	1.519 (1.073–2.151)	0.019	0.801 (0.430–1.492)	0.484	
CDK4 (High vs. Low)	373	1.921 (1.350–2.733)	<0.001	1.908 (1.060–3.437)	0.031	

# Table 3.1. Univariate and multivariate Cox proportional hazards analysis of TRIM28 expression and OS for patients with HCC.

Table 3.2. Univariate and multivariate Cox proportional hazards analysis of TRIM28 expression and PFS for patients with HCC.

Characteristics	Total	Univariate analy	ysis	Multivariate analysis		
	(N)	HR (95% CI)	<i>P</i> -value	HR (95% CI)	<i>P</i> -value	
T stage (T2 & T3 & T4 vs. T1)	362	2.829 (1.747-4.582)	<0.001	0.254 (0.029–2.196)	0.213	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	341	2.909 (1.718-4.925)	<0.001	10.404 (1.138–95.097)	0.038	
Age (>60 vs. ≤60)	365	0.846 (0.543–1.317)	0.458	0.950 (0.512-1.764)	0.872	
BMI (>25 vs. ≤25)	329	0.826 (0.512–1.330)	0.431	1.528 (0.807–2.895)	0.193	
Histologic grade (G3 & G4 vs. G1 & G2)	360	1.086 (0.683–1.728)	0.726	1.411 (0.712–2.796)	0.324	
AFP(ng/ml) (>400 vs. ≤400)	275	0.867 (0.450-1.668)	0.668	0.486 (0.201-1.176)	0.109	
TRIM28 (High vs. Low)	365	1.525 (0.977–2.380)	0.063	1.136 (0.503–2.565)	0.759	
H2AX (High vs. Low)	365	1.396 (0.897–2.175)	0.140	0.485 (0.213-1.105)	0.085	
CDK4 (High vs. Low)	365	2.346 (1.479–3.721)	<0.001	3.893 (1.793-8.451)	<0.001	

Table 3.3. Univariate and multivariate Cox proportional hazards analysis of TRIM28 expression and DSS for patients with HCC.

Characteristics	Total	Univariate analy	vsis	Multivariate analysis		
Characteristics	(N)	HR (95% CI)	P-value	HR (95% CI)	P-value	
T stage (T2 & T3 & T4 vs. T1)	370	2.360 (1.745-3.191)	<0.001	0.457 (0.059–3.510)	0.452	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.284 (1.670–3.122)	<0.001	4.388 (0.568–33.927)	0.156	
Age (>60 vs. ≤60)	373	0.960 (0.718-1.284)	0.783	1.040 (0.723–1.494)	0.834	
BMI (>25 vs. ≤25)	336	0.936 (0.689–1.272)	0.673	1.103 (0.758–1.605)	0.608	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.152 (0.853–1.557)	0.355	1.056 (0.715–1.559)	0.785	
AFP (ng/ml) (>400 vs. ≤400)	279	1.045 (0.698–1.563)	0.832	0.833 (0.519–1.338)	0.449	
TRIM28 (High vs. Low)	373	1.393 (1.041–1.864)	0.026	0.880 (0.551-1.405)	0.592	
H2AX (High vs. Low)	373	1.543 (1.153–2.066)	0.004	0.876 (0.552–1.391)	0.576	
CDK4 (High vs. Low)	373	1.807 (1.348–2.424)	<0.001	2.067 (1.341-3.185)	<0.001	

independent predictor for OS and PFS in HCC (Supplementary Tables 16 and 17). Kaplan-Meier survival analysis suggested that higher expression levels of TRIM28, H2AX or CDK4 expression were associated

with poorer prognoses in patients with HCC (Supplementary Figure 7A, 7B). The high expression levels of all three proteins combined was associated with reduced OS compared with higher expression levels of

either of the three proteins alone, whereas higher PFS rates were observed in patients that did not express high levels of these three proteins (Figure 6D (a, b)). As shown in Table 4, high expression levels of TRIM28, H2AX or CDK4 were significantly associated with tumor

recurrence in an independent cohort of HCC. To detect the diagnostic value of TRIM28, H2AX and CDK4 in patients with HCC, ROC analysis was performed using the "roc" function in the pROC R package (Version 1.17.0.1) and "ggplot2" R package (Version 3.3.3).



**Figure 5. Diagnostic and prognostic values of TRIM28, H2AX and CDK4 expression in HCC.** (A) The AUC for ROC curves for TRIM28, H2AX and CDK4 in normal liver tissues and HCC tissues, subgroup analysis for T1 stage, T2/T3/T4 stage, Pathologic stage I and Pathologic stage II/III/IV were computed. (B) Nomogram for predicting probability of patients with 1-, 3- and 5-year OS, DSS, PFS in entire TCGA cohort in HCC. Calibration curves of nomogram on consistency between predicted and observed 1-, 3-, and 5-year survival in entire TCGA cohort. Dashed line at 45° implicated a perfect prediction, and the actual performances of our nomogram were shown in blue lines.

In the 90 HCC cases, the AUC was calculated to be 0.921, 0.795 and 0.890, respectively (Figure 6E (a)), which was consistent with the results from TCGA. The AUC of the integrated analysis of these three targets was

0.933, which was higher compared with either of the three proteins alone (Figure 6E (b)). These results implicate the potential roles of TRIM28, H2AX and CDK4 in evaluating the prognosis of patients with HCC.



Figure 6. High TRIM28, H2AX, and CDK4 expression is associated with poor prognosis in patients with HCC. (A) An Immunohistochemical analysis of TRIM28, H2AX and CDK4 expression in HCC tissues and adjacent non-tumorous tissues (n = 90). (B) The expression of TRIM28, H2AX and CDK4 in HCC and corresponding adjacent nontumor tissues. \*\*\*p < 0.001. (C) The association between the expression of TRIM28, H2AX and CDK4 in HCC patients. (D (a, b)) A Kaplan-Meier analysis of TRIM28, H2AX and CDK4 expression those three genes co-expression for overall survival and PFS in an independent cohort 90 HCC patients. (E (a, b)). The AUC for ROC curve analyses for TRIM28 (AUC = 0.921), H2AX (AUC = 0.795), CDK4 (AUC = 0.890) expression and three gene co-expression (AUC = 0.933) in our independent cohort of HCC.

croarray findings ( <i>n</i> = 90)	D voluo	Mathada
ence Nonrecurrences	<i>F</i> -value	Wiethous
	0.047	Chisq.test
29		
30		
	0.038	Chisq.test
20		
23		
	0.031	Chisq.test
28		
30		
	croarray findings (n = 90)enceNonrecurrences293020232830	Protect         P-value           Product         0.047           29         30           30         0.038           20         23           23         0.031           28         30

Table 4. Correlation between genes expression and recurrence in HCC.

Abbreviation: Chisq.test: Chi-square test.

#### **DISCUSSION**

In the present study, we performed a systemic analysis of TRIMs, an important subgroup of E3 ubiquitin ligases, in HCC, which found that TRIM28 may function as a key regulator through its downstream targets H2AX and CDK4. In addition, we evaluated the diagnostic values of TRIM28, H2AX and CDK4 and established a nomogram to predict the clinical outcomes of patients with HCC. These results suggest that the TRIM28/H2AX/CDK4 axis can serve as a potential biomarker and therapeutic target of HCC.

TRIM28, also known as KRAB-associated protein 1, is a member of the TRIM transcription factor family. In addition, it is a specific SUMO E3 ligase that can regulate autophagy, immunity and carcinogenesis [5, 16]. A previous study by Wang et al. [14] reported that TRIM28 overexpression can promote HCC cell proliferation, which is significantly associated with tumor staging in patients with HCC [14]. In addition, another previous report showed that the TRIM28melanoma antigen complex can promote the Warburg effect and HCC progression by targeting fructosebisphosphatase 1 for ubiquitination and degradation [17]. TRIM28 can also interact with the ubiquitinconjugating enzyme E2S to accelerate cell cycle progression by regulating p27 ubiquitination [18]. Similar functions of TRIM28 were found in animal models of HCC, where TRIM28 was found to accelerate histone deacetylase 6 ubiquitination and degradation [19]. By contrast, transcription cofactors TRIM24, TRIM28 and TRIM33 can form regulatory complexes to suppress the progression of HCC [20]. Therefore, the function and potential mechanism of TRIM28 in HCC progression remain largely unclear. In this study, we identified that nuclear TRIM28 expression was significantly higher in HCC tissues, which was in turn was closely associated with the clinical outcomes of patients with HCC. Using bioinformatics enrichment analysis and validation, H2AX and CDK4 were found to be the functional targets of TRIM28, revealing the possible mechanism of TRIM28 in HCC. In addition, the novel, possibly functional cg05678175 methylation site in the TRIM28 gene was identified for the first time. Our results revealed that the methylation level of this site of the TRIM28 gene was significantly decreased in HCC, which was associated with a poorer prognosis in patients with HCC. These data suggest that cg05678175 may be a potentially functional methylation site of TRIM28.

Immunotherapy targets the immune system to damage and destroy tumors in the tumor microenvironment (TME), which has been an effective approach for tumor management [21]. Immune checkpoints within the TME serve an important role in modulating host anti-tumor immunity. Several immune checkpoints within the TME have been reported, such as the B7 family, tumor necrosis factor, NK cells, extracellular nucleotidesrelated and phagocytosis checkpoints [22]. Subsequent discovery of additional immune checkpoint inhibitors and therapeutic predictors was of great practical value for HCC treatment. In the present study, we found that PD-1, CTLA-4, TIM3, TIGIT, CD8B, CD27, CD96, CD40LG and TNFRSF4 expression was upregulated, whilst ADORA2A, CD33, KLRC1, LAG3, PD-L1, Siglec 7 and Siglec 9 expression were downregulated in HCC. These results suggest that TRIM28 may be an important biomarker that can be used to evaluate the potential therapeutic effects of different immunotherapies on HCC.

H2AX is a variant of the H2A protein family and is a component of the histone octamer in nucleosomes [23, 24], which is widely applied as a tool for measuring DNA damage. H2AX has been reported to be associated with tumor size, vascular invasion, TNM stage and reduced survival after HCC transplantation [25]. Galanty et al. [26] revealed that H2AX served key roles in the ubiquitylation and SUMOylation of proteins regulating cellular responses [26]. H2AX recruits E3 ligase enzymes protein inhibitor of activated STAT (PIAS)4 and PIAS1 to promote responses to DNA double-strand breaks [27]. Furthermore, accumulating evidence shows the ubiquitination of H2AX serves vital roles in cancer and DNA damage [28-30]. In our study, H2AX expression was found to be significantly higher in HCC and was a downstream target of TRIM28. The interaction between TRIM28 and H2AX was likely to be consistent with that of ubiquitin-conjugating enzyme 2T or UBC13 [29, 30]. Further studies should be performed to deepen the understanding into how TRIM28 and H2AX interact during the ubiquitination process.

CDK4 is a key component that mainly regulates the G<sub>1</sub>-S transition of the cell cycle [31], apoptosis and HCC cell proliferation [32]. CDK4 is an important therapeutic target for cancer treatment, such that its inhibitors, including palbociclib, ponatinib and ribociclib [32-34], can block cell cycle progression, DNA damage response and immune modulation to suppress the cancer progression [35]. In addition, a previous study found that CDK4 is involved in the development of HCC [36]. CDK4 inhibition or treatment with the CDK4 inhibitor may represent a novel therapeutic strategy for HCC treatment, either alone or particularly in combination with sorafenib [33, 37]. We indicated in the present study that CDK4 is a downstream substrate of TRIM28 and H2AX in HCC, which suggests that TRIM28 and H2AX can serve as potential regulators of CDK4. This may assist in the refinement of clinical applications of CDK4 inhibitors for patients with HCC.

Clinical prediction models, including prognostic and diagnostic models, serve critical roles in predicting disease progression and survival. In the current study, we showed that higher expression levels of TRIM28, H2AX and CDK4 were associated with reduced overall survival, especially when all three proteins are simultaneously expressed at high levels. As shown in the data from our validation cohort, the ROC diagnostic value of TRIM28, H2AX and CDK4 was found to be 0.921, 0.795 and 0.890, respectively; whilst the area under the ROC curve of all three genes together is 0.933. These findings indicate that their combination can increase the diagnostic efficiency of HCC.

#### CONCLUSIONS

In summary, TRIM28 expression was found to be upregulated in HCC, possibly due to the hypomethylation of cg05678175. In addition, TRIM28 expression was found to be associated with the immune state and clinical outcomes of patients with HCC, indicating its role in the development of HCC. Downstream, H2AX and CDK4 were found to be functional targets of TRIM28, whereby a nomogram was established. The TRIM28/H2AX/CDK4 combination was able to accurately predict disease progression and survival outcomes of patients with HCC. These data highlighted novel diagnostic and therapeutic strategies for the clinical management of HCCs.

#### **METHODS**

#### Gene expression analysis

TRIM expression data were obtained using GSE36411, GSE39791, GSE45267, GSE69715, and GSE87630, a computational method that is used to determine whether a previously defined set of genes show statistically significant differences in expression levels [38]. The different TRIM3, TRIM6 and TRIM28 expression datasets were available from TCGA data portal (http://www.cancer.gov/tcga, v30.0; September 23, 2021), GEPIA2 web server (http://gepia2.cancer-pku.cn/#analysis) and Oncomine gene expression array datasets. Additionally, we obtained violin plots showing TRIM3, TRIM6 and TRIM28 expression among the different pathological stages (stages I–IV) of all TCGA tumors using the "Pathological Stage Plot" module of GEPIA2.

#### **DNA methylation analysis**

We explored the association between TRIM28 and their methylation state using the MethSurv tool (<u>https://biit.cs.ut.ee/methsurv/</u>). Spearman correlation coefficients were applied to estimate the correlation between TRIM28 expression and their gene methylation levels in TCGA-HCC.

#### Immune checkpoints and infiltration analysis

Immune checkpoint data were derived from PubMed. Kaplan-Meier survival analysis was performed using the selected gene expression dataset from the HCC patient samples using Kaplan-Meier plotter (https://www.kmplot.com). The significance of co-occurrence or mutual exclusivity was calculated in cBioPortal web (https://www.cbioportal.org/). Q < 0.05 was considered to indicate a statistically significant result. To calculate the correlation of the

expression levels of TRIM28 with tumor-infiltrating immune cells, Tumor Immune Estimation Resource (TIMER 2.0, <u>https://cistrome.shinyapps.io/timer/</u>) was used. We calculated the association between TRIM28 expression and a variety of tumor-infiltrating immune cell types, including CD8+ T cells, CD4+ T cells, B cells, macrophages, neutrophils and myeloid dendritic cells. In addition, the association between cumulative survival and TRIM28 copy numbers in HCC was also calculated in both TIMER 2.0 [39] and TCGA [40, 41].

#### Gene enrichment analysis

We searched the cBioPortal website by using protein name 'TRIM28' and 'Homo sapiens' as the search terms. Parameters (evidence; experiments; low confidence 0.150 and  $\leq$ 50 interactors) were set to obtain the potential TRIM28-binding proteins. In addition, GEPIA2 was used to obtain the top 50 genes associated with TRIM28 or H2AX based on the TCGA tumor and normal tissue datasets. A single-gene coexpression heat map was then made to show the differentially expressed genes (cBioPortal and GEPIA2) in the low- and high-expression tissue groups. A circos plot was also produced to show the Spearman correlation between TRIM28 expression and other mRNAs in HCC.

#### Survival analysis

Survival plots analyzing TRIM28 expression in HCC were obtained using the 'Survival Analysis' module in TCGA. We then used the 'Survival Map' module of The Kaplan-Meier Plotter [42] to obtain the association between overall survival (OS), relapse-free survival (RFS), progression-free survival (PFS) or disease-specific survival DSS and TRIM28 or H2AX expression. Log-rank test was used to assess statistical significance.

#### **Tissue microarrays**

Tissue microarrays (cat. no. HLivH180Su16) were purchased from Shanghai Outdo Biotech Co., Ltd., to detect the expression of TRIM28, H2AX and CDK4 gene.

#### Immunohistochemical analysis (IHC)

Briefly, the microarrays were dewaxed in xylene and rehydrated in a descending ethanol gradient and PBS. Endogenous peroxidase was inactivated using 3% H<sub>2</sub>O<sub>2</sub> and antigen retrieval was performed using the Tris-EDTA antigen retrieval buffer for TRIM28, H2AX and CDK4 staining. Sections were blocked with 10% normal goat serum at room temperature for 15 min and incubated with primary antibodies against TRIM28 (Santa Cruz Biotechnology, Inc.), H2AX (ab124781, Abcam) or CDK4 (ab108357, Abcam) at 4°C overnight. The sections were then incubated with corresponding secondary antibodies conjugated with horseradish peroxidase at room temperature for 30 min. The staining was visualized using a DAB kit (Beijing Zhongshan Golden Bridge Biotechnology Co., Ltd.; OriGene Technologies, Inc.). All sections were examined and scored independently by two investigators in a doubleblinded manner. Staining and scoring were performed as previously described [43].

#### Statistical analysis

The expression level of TRIM28 in patients with HCC was evaluated using scatter/box/stage plots GraphPad Prism 7 Software. A Mann-Whitney U test and Wilcoxon signed-rank sum test were used to compare the difference between two groups of data, while a Kruskal-Walli's test was utilized to evaluate the difference among  $\geq$  three groups. Further exploration was analyzed by post-hoc Bonferroni test or adjusted Bonferroni correction for multiple comparisons. A time-dependent ROC curve was used to calculate the diagnostic value of TRIM28, H2AX or CDK4 gene expression levels. Categorical variables are expressed in the form of quantity (percentage), and a  $\chi^2$  test or Fisher's exact test were used for comparison. Cox proportional-hazards model was used for univariate and multivariate survival analyses. Volcano plots were plotted using the Limma statistical package (version 3.52.2). Nomograms were constructed using the R package (Version 3.6.3) and calibration plots were generated to evaluate the performance of the nomogram. P <0.05 was considered to indicate a statistically different difference.

#### Limitation

In the present study, we have determined the role of TRIM28/H2AX/CDK4 associated with immune status in HCC. And the expression and prognosis of TRIM28, H2AX and CDK4 were validated further in an independent cohort of patients with HCC via IHC. However, there is still a lack of *in vivo* study for the expression of these three genes and the interaction between TRIM28, H2AX and CDK4.

#### Availability of data and materials

The data and material can be found from correspondence author.

#### Abbreviations

HCC: Hepatocellular carcinoma; TRIM28: Tripartite motif containing 28; TCGA: The Cancer Genome Atlas; H2AX: H2A histone family member X; CDK4: Cyclin-Post-translational dependent kinase 4: PTMs: modifications; TRIM: Tripartite motif; GSEA: Gene Set Enrichment Analysis; GEPIA2: Gene expression profiling interactive analysis, version 2; TIMER: Tumor Immune Estimation Resource; OS: Overall survival; RFS: Relapse-free survival; PFS: Progression-free survival; ROC: Receiver Operating Characteristic; PD-1: Programmed cell death protein-1; CTLA-4: Cytotoxic T lymphocyte antigen 4; TIM3: T cell immunoglobulin and mucin domain-containing-3; TIGIT: T cell immunoreceptor with Ig and ITIM domains; KLRC1: Killer cell lectin like receptor C1; PD-L1: Programmed death-ligand; TME: Tumor microenvironment; PIAS: Protein inhibitor of activated STAT.

#### **AUTHOR CONTRIBUTIONS**

Data curation, Qifei Tian, Guofang Lu and Ying Ma; Funding acquisition, Guofang Lu and Yulong Shang; Investigation, Qifei Tian and Rui Du; Methodology, Lingling Ma and Ni Guo; Project administration, Rui Du and Yulong Shang; Validation, Rui Du; Writing – original draft, Guofang Lu, Rui Du; Writing – review and editing, Lin Zhu, Ni Guo, Yan Huang and Rui Du. Final approval of manuscript (all authors).

#### **CONFLICTS OF INTEREST**

The authors declare no conflicts of interest related to this study.

#### ETHICAL STATEMENT AND CONSENT

Inclusion of the human samples in the tissue array was approved by Xijing Hospital review board (KY20173286-1, approved on 03/07/2017) and all patients involved in our study obtained consent to participate.

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#### SUPPLEMENTARY MATERIALS

#### **Supplementary Figures**



**Supplementary Figure 1. The expression levels of TRIMs protein and clinical features in HCC.** (A) PCA plots showed differential gene expression in HCC and adjacent nontumor tissues from GSEA database (red: HCC tissues, blue: adjacent nontumor tissues). (B) TRIM6 and TRIM28 were showed to be increased in HCC from Oncomine data (red: overexpression, blue: down expression). (C) We analyzed the expression level of TRIM28 total protein between normal tissue and HCC tissue from TCGA, p < 0.001 (left). Based on the GEPIA 2 data, the TRIM28 expression levels was analyzed by main pathological stages (stage I, stage II, stage III, and stage IV) (right). Log2 (TPM + 1) was applied for log-scale.



Supplementary Figure 2. DNA sequences and methylation sites of TRIM28 were identified using MethSurv in HCC. (A) The methylation site of TRIM28 DNA sequence association with gene expression was visualized using MEXPRESS. The expression of TRIM28 is illustrated by the blue line in the center of the plot. Pearson's correlation coefficients and p values for methylation sites and query gene expression are shown on the right side, relationship between TRIM28 expression and methylation site cg05678175 by Kaplan-Meier Plotter (**B**).

Study of origin	
TRIM28	1.3%*
CTLA4	0.7%*
SPATA2	1%*
HAVCR2	0.4%*
TIGIT	0.1%*
CD8A	0.4%*
CD8B	0.4%*
CD27	0.4%*
CD96	0.8%*
CD40LG	0.4%*
TNFRSF4	1.6%*
ADORA2A	0.6%*
CD33	0.7%*
KLRC1	0.1%*
LAG3	0.8%*
CD274	0.4%*
SIGLEC7	0.5%*
SIGLEC9	
Genetic Alteration	Inframe Mutation (unknown significance) Missense Mutation (unknown significance)
	Truncating Mutation (unknown significance)
Study of origin	Hepatocellular Carcinomas (INSERM, Nat Genet 2015)
	Liver Hepatocellular Carcinoma (AMC, Hepatology 2014)
	Liver Hepatocellular Carcinoma (TCGA, Firehose Legacy)

**Supplementary Figure 3. Correlations between TRIM28 and immune checkpoints in HCC.** Landscape of TRIM28 expression level and immune checkpoint alteration in HCC. Compact visualization of cases with multiple genetic alterations of TRIM28 and immune checkpoints were individually shown by cBioPortal as indicated, including fusion, amplification, deep deletion, truncating mutation, and missense mutation.



**Supplementary Figure 4. The positive correlation of TRIM28 and H2AX and their prognostic values in HCC.** (A) An overview of CCT2, CCT7, H2AX and SMARCA4 expression in HCC from GSE36411, GSE39791, GSE69715, GSE87630 from TCGA data (blue: overexpression, red: down expression, grey: no significant). (B) Survival analysis using the Kaplan-Meier plotter tool OF H2AX expression and OS, PFS and DSS in HCC.





**Supplementary Figure 5. CDK4 was the downstream gene of TRIM28 and H2AX.** (A) A KEGG mapping 05225 suggested the protentional signalling pathways in HCC. (B) The top 50 genes with positive association with TRIM28 and H2AX interacting genes from KEGG signalling pathways in HCC.



Supplementary Figure 6. Correlation between TRIM28 or H2AX and these candidate genes. (A) Overall survival analyzed determined that CDK4, BAX, SMARCD1 and SMARCB1 is involved in poor prognosis of patients with HCC patients from Kaplan-Meier Plotter. (B) A positively correlated between TRIM28 or H2AX expression with those four genes in HCC from TCGA data.



**Supplementary Figure 7. Relationship between TRIM28 expression with overall survival (OS) and recurrence in 90 HCCs.** (A) A Kaplan-Meier analysis of TRIM28, H2AX and CDK4 expression for OS from an independent cohort of HCC. (B) Analysis of TRIM28, H2AX and CDK4 expression for PFS from an independent cohort of HCC.

#### **Supplementary Tables**

Name	R (copy number)	HR	CI	P value	CHR	UCSC_RefGene_ Group	Relation_to_UCSC_ CpG_Island
cg05663122	-0.050	1.908	(1.221, 2.981)	0.005	19	TSS200	Island
cg05025162	0.127	1.673	(1.185, 2.362)	0.003	19	Body	S_Shore
cg26981251	-0.003	1.59	(1.118, 2.261)	0.010	19	TSS1500	Island
cg24109975	0.030	1.522	(1.071, 2.164)	0.019	19	TSS200	Island
cg12528394	-0.069	1.481	(1.046, 2.096)	0.027	19	1stExon	Island
cg01339029	0.090	1.373	(0.899, 2.097)	0.142	19	TSS1500	Island
cg11909976	-0.170	1.205	(0.777, 1.868)	0.405	19	TSS200	Island
cg19476058	-0.230	1.124	(0.745, 1.693)	0.578	19	Body	S_Shelf
cg17840453	-0.065	1.121	(0.782, 1.605)	0.535	19	TSS200	Island
cg18397137	-0.099	1.093	(0.77, 1.55)	0.619	19	1stExon;5'UTR	Island
cg06180363	0.113	0.829	(0.588, 1.17)	0.286	19	1stExon	Island
cg05678175	-0.205	0.677	(0.479, 0.959)	0.028	19	Body	S_Shore

#### Supplementary Table 1. The reference information of CpGs.

#### Supplementary Table 2. Prognostic summary of immune checkpoints.

Checkpoint	Expression	os	<i>p</i> -Value	HR (high)	RFS	<i>p</i> -Value	HR (high)	PFS	<i>p</i> -Value	HR (high)	DSS	<i>p</i> -Value	HR (high)
CTLA4	Up	Ns	0.201	0.79	Favor	0.018	0.65	Favor	0.04	0.71	Ns	0.309	0.78
PD1	Up	Ns	0.223	1.24	Unfavor	0.029	1.47	Unfavor	0.016	1.47	Ns	0.156	1.45
TIM3	Up	Ns	0.226	1.28	Favor	0.02	0.66	Favor	0.02	0.7	Ns	0.342	0.79
TIGIT	Up	Ns	0.067	0.71	Favor	0.001	0.58	Favor	0.002	0.6	Favor	0.044	0.62
CD8A	Ns	Favor	0.001	0.56	Favor	0.001	0.57	Favor	< 0.001	0.57	Favor	0.001	0.48
CD8B	Up	Favor	0.006	0.6	Favor	0.001	0.57	Favor	0.001	0.58	Favor	0.008	0.52
CD27	Up	Favor	0.006	0.59	Favor	0.007	0.58	Favor	0.003	0.59	Favor	0.019	0.59
CD96	Up	Favor	0.001	0.56	Favor	< 0.001	0.49	Favor	< 0.001	0.51	Favor	0.001	0.45
CD40LG	Up	Favor	0.006	0.6	Favor	0.001	0.55	Favor	0.004	0.65	Favor	0.006	0.53
TNFRSF4	Up	Unfavor	< 0.001	2.08	Unfavor	0.005	1.67	Unfavor	0.015	1.48	Unfavor	< 0.001	2.59
ADORA2A	Down	Favor	0.006	0.59	Favor	0.009	0.65	Favor	0.035	0.73	Favor	0.025	0.59
CD33	Down	Favor	0.041	0.7	Favor	0.006	0.63	Favor	0.003	0.64	Favor	0.025	0.6
KLRC1	Down	Favor	0.008	0.61	Favor	0.001	0.55	Favor	0.008	0.65	Favor	0.034	0.61
LAG3	Down	Favor	0.022	0.6	Favor	0.016	0.67	Favor	0.007	0.66	Ns	0.162	0.68
PD-L1	Down	Ns	0.095	0.71	Ns	0.234	0.81	Ns	0.266	0.84	Ns	0.096	0.64
Siglec7	Down	Ns	0.146	0.77	Favor	0.003	0.61	Favor	0.001	0.61	Ns	0.078	0.66
Siglec9	Down	Ns	0.201	1.25	Favor	0.028	0.67	Favor	0.038	0.69	Ns	0.42	0.81

#### Supplementary Table 3. Mutual Exclusivity.

A	В	Neither	A Not B	B Not A	Both	Log2 odds ratio	<i>p</i> -Value	q-Value	Tendency	Significance
TRIM28	SIGLEC7	852	8	3	4	>3	< 0.001	<0.001	Co-occurrence	Yes
TRIM28	CD33	852	9	3	3	>3	< 0.001	0.001	Co-occurrence	Yes
TRIM28	SIGLEC9	849	9	6	3	>3	< 0.001	0.004	Co-occurrence	Yes
TRIM28	CD8A	852	11	3	1	>3	0.054	0.346	Co-occurrence	No
TRIM28	CD40LG	852	11	3	1	>3	0.054	0.346	Co-occurrence	No
TRIM28	SPATA2	847	11	8	1	>3	0.118	0.697	Co-occurrence	No
TRIM28	TNFRSF4	841	12	14	0	<-3	0.822	0.999	Mutual exclusivity	No
TRIM28	CD96	848	12	7	0	<-3	0.907	0.999	Mutual exclusivity	No
TRIM28	LAG3	848	12	7	0	<-3	0.907	0.999	Mutual exclusivity	No
TRIM28	CTLA4	849	12	6	0	<-3	0.92	0.999	Mutual exclusivity	No
TRIM28	ADORA2A	850	12	5	0	<-3	0.933	0.999	Mutual exclusivity	No
TRIM28	HAVCR2	851	12	4	0	<-3	0.946	0.999	Mutual exclusivity	No

TRIM28	CD8B	851	12	4	0	<-3	0.946	0.999	Mutual exclusivity	No
TRIM28	CD27	851	12	4	0	<-3	0.946	0.999	Mutual exclusivity	No
TRIM28	CD274	851	12	4	0	<-3	0.946	0.999	Mutual exclusivity	No
TRIM28	TIGIT	854	12	1	0	<-3	0.986	0.999	Mutual exclusivity	No
TRIM28	KLRC1	854	12	1	0	<-3	0.986	0.999	Mutual exclusivity	No

#### Supplementary Table 4. Univariate and multivariate analysis of CDK4 associated with DSS of HCC.

Characteristics	Total	Univariate analys	is	Multivariate analysis		
Characteristics	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	362	2.829 (1.747-4.582)	<0.001	0.284 (0.033–2.449)	0.252	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	341	2.909 (1.718-4.925)	<0.001	8.223 (0.921–73.410)	0.059	
Age (>60 vs. ≤60)	365	0.846 (0.543–1.317)	0.458	1.013 (0.548–1.873)	0.968	
BMI (>25 vs. ≤25)	329	0.826 (0.512–1.330)	0.431	1.414 (0.750–2.664)	0.284	
Histologic grade (G3 & G4 vs. G1 & G2)	360	1.086 (0.683–1.728)	0.726	1.227 (0.638–2.358)	0.540	
AFP (ng/ml) (>400 vs. ≤400)	275	0.867 (0.450-1.668)	0.668	0.426 (0.186–0.977)	0.044	
CDK4 (High vs. Low)	365	2.346 (1.479–3.721)	<0.001	3.025 (1.516-6.034)	0.002	

#### Supplementary Table 5. Univariate and multivariate analysis of CDK4 associated with OS of HCC.

Chamatanistics	Total	Univariate analys	is	Multivariate analysis		
	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.126 (1.481-3.052)	<0.001	0.500 (0.063-3.965)	0.512	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.090 (1.429–3.055)	<0.001	3.606 (0.446–29.136)	0.229	
Age (>60 vs. ≤60)	373	1.205 (0.850–1.708)	0.295	1.592 (0.979–2.589)	0.061	
BMI (>25 vs. ≤25)	336	0.798 (0.550-1.158)	0.235	1.129 (0.695–1.833)	0.624	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.091 (0.761–1.564)	0.636	1.487 (0.900–2.457)	0.122	
AFP (ng/ml) (>400 vs. ≤400)	279	1.075 (0.658–1.759)	0.772	0.729 (0.404–1.316)	0.294	
CDK4 (High vs. Low)	373	1.921 (1.350–2.733)	<0.001	1.890 (1.121–3.187)	0.017	

#### Supplementary Table 6. Univariate and multivariate analysis of CDK4 associated with PFS of HCC.

Champetonicties	Total	Univariate analys	is	Multivariate analysis		
Characteristics	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.360 (1.745-3.191)	<0.001	0.470 (0.061-3.605)	0.467	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.284 (1.670–3.122)	<0.001	4.121 (0.536–31.713)	0.174	
Age (>60 vs. ≤60)	373	0.960 (0.718-1.284)	0.783	1.042 (0.725–1.498)	0.823	
BMI (>25 vs. ≤25)	336	0.936 (0.689–1.272)	0.673	1.085 (0.748–1.574)	0.665	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.152 (0.853–1.557)	0.355	1.006 (0.690–1.465)	0.976	
AFP (ng/ml) (>400 vs. ≤400)	279	1.045 (0.698–1.563)	0.832	0.775 (0.496–1.211)	0.263	
CDK4 (High vs. Low)	373	1.807 (1.348–2.424)	<0.001	1.868 (1.277–2.733)	0.001	

#### Supplementary Table 7. Univariate and multivariate analysis of BAX associated with DSS of HCC.

Chamatanistics	Total	Univariate analys	is	Multivariate analysis		
Characteristics	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	362	2.829 (1.747-4.582)	<0.001	0.213 (0.025–1.856)	0.162	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	341	2.909 (1.718-4.925)	<0.001	9.104 (0.996-83.209)	0.050	
Age (>60 vs. ≤60)	365	0.846 (0.543–1.317)	0.458	1.013 (0.548–1.872)	0.968	
BMI (>25 vs. ≤25)	329	0.826 (0.512–1.330)	0.431	1.366 (0.730–2.558)	0.329	
Histologic grade (G3 & G4 vs. G1 & G2)	360	1.086 (0.683–1.728)	0.726	1.482 (0.782–2.809)	0.228	
AFP (ng/ml) (>400 vs. ≤400)	275	0.867 (0.450-1.668)	0.668	0.661 (0.305–1.433)	0.294	
BAX (High vs. Low)	365	1.378 (0.885–2.144)	0.156	1.185 (0.625–2.248)	0.604	

#### Supplementary Table 8. Univariate and multivariate analysis of BAX associated with OS of HCC.

Characteristics	Total	Univariate analys	is	Multivariate analysis		
Characteristics		Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.126 (1.481–3.052)	<0.001	0.448 (0.056-3.568)	0.448	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.090 (1.429–3.055)	<0.001	3.566 (0.437–29.074)	0.235	
Age (>60 vs. ≤60)	373	1.205 (0.850–1.708)	0.295	1.619 (0.997–2.631)	0.052	
BMI (>25 vs. ≤25)	336	0.798 (0.550-1.158)	0.235	1.163 (0.717–1.887)	0.541	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.091 (0.761–1.564)	0.636	1.612 (0.984–2.640)	0.058	
AFP (ng/ml) (>400 vs. ≤400)	279	1.075 (0.658–1.759)	0.772	0.863 (0.490–1.519)	0.609	
BAX (High vs. Low)	373	1.475 (1.043–2.085)	0.028	1.401 (0.855–2.297)	0.181	

#### Supplementary Table 9. Univariate and multivariate analysis of BAX associated with PFS of HCC.

Characteristics	Total	Univariate analys	is	Multivariate analysis		
Characteristics	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.360 (1.745-3.191)	<0.001	0.349 (0.045–2.694)	0.313	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.284 (1.670–3.122)	<0.001	5.215 (0.670-40.561)	0.115	
Age (>60 vs. ≤60)	373	0.960 (0.718-1.284)	0.783	1.005 (0.700-1.443)	0.979	
BMI (>25 vs. ≤25)	336	0.936 (0.689–1.272)	0.673	1.075 (0.742–1.558)	0.701	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.152 (0.853–1.557)	0.355	1.140 (0.781–1.664)	0.496	
AFP (ng/ml) (>400 vs. ≤400)	279	1.045 (0.698–1.563)	0.832	0.940 (0.605–1.459)	0.781	
BAX (High vs. Low)	373	1.295 (0.969–1.732)	0.081	0.950 (0.656–1.377)	0.788	

#### Supplementary Table 10. Univariate and multivariate analysis of SMARCD1 associated with DSS of HCC.

Characteristics	Total (N)	Univariate analys	is	Multivariate analysis		
Characteristics		Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	362	2.829 (1.747-4.582)	<0.001	0.275 (0.032-2.364)	0.239	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	341	2.909 (1.718-4.925)	<0.001	7.083 (0.788–63.667)	0.081	
Age (>60 vs. ≤60)	365	0.846 (0.543–1.317)	0.458	1.006 (0.543–1.863)	0.985	
BMI (>25 vs. ≤25)	329	0.826 (0.512–1.330)	0.431	1.380 (0.738–2.580)	0.313	
Histologic grade (G3 & G4 vs. G1 & G2)	360	1.086 (0.683–1.728)	0.726	1.184 (0.613–2.289)	0.615	
AFP (ng/ml) (>400 vs. ≤400)	275	0.867 (0.450-1.668)	0.668	0.522 (0.235-1.159)	0.110	
SMARCD1 (High vs. Low)	365	2.050 (1.303-3.225)	0.002	2.400 (1.216-4.740)	0.012	

#### Supplementary Table 11. Univariate and multivariate analysis of SMARCD1 associated with OS of HCC.

Champetonicties	Total	Univariate analys	is	Multivariate analysis		
	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.126 (1.481-3.052)	<0.001	0.499 (0.063-3.959)	0.511	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.090 (1.429–3.055)	<0.001	3.241 (0.399–26.351)	0.271	
Age (>60 vs. ≤60)	373	1.205 (0.850–1.708)	0.295	1.603 (0.984–2.613)	0.058	
BMI (>25 vs. ≤25)	336	0.798 (0.550-1.158)	0.235	1.130 (0.698–1.829)	0.620	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.091 (0.761–1.564)	0.636	1.450 (0.876–2.402)	0.149	
AFP (ng/ml) (>400 vs. ≤400)	279	1.075 (0.658–1.759)	0.772	0.797 (0.450–1.411)	0.436	
SMARCD1 (High vs. Low)	373	1.934 (1.360–2.750)	<0.001	1.818 (1.086–3.042)	0.023	

#### Supplementary Table 12. Univariate and multivariate analysis of SMARCD1 associated with PFS of HCC.

Characteristics	Total (N)	Univariate analys	is	Multivariate analysis		
Characteristics		Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.360 (1.745-3.191)	<0.001	0.398 (0.052-3.061)	0.376	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.284 (1.670–3.122)	<0.001	4.525 (0.585–35.001)	0.148	
Age (>60 vs. ≤60)	373	0.960 (0.718-1.284)	0.783	0.997 (0.694–1.433)	0.989	
BMI (>25 vs. ≤25)	336	0.936 (0.689–1.272)	0.673	1.083 (0.748–1.567)	0.674	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.152 (0.853–1.557)	0.355	1.066 (0.730–1.555)	0.742	
AFP (ng/ml) (>400 vs. ≤400)	279	1.045 (0.698–1.563)	0.832	0.871 (0.557-1.360)	0.542	
SMARCD1 (High vs. Low)	373	1.471 (1.100–1.968)	0.009	1.299 (0.890–1.894)	0.175	

#### Supplementary Table 13. Univariate and multivariate analysis of SMARCB1 associated with DSS of HCC.

Characteristics	Total	Univariate analys	is	Multivariate analysis		
Characteristics	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	362	2.829 (1.747-4.582)	<0.001	0.203 (0.023-1.760)	0.148	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	341	2.909 (1.718–4.925)	<0.001	9.798 (1.088–88.236)	0.042	
Age (>60 vs. ≤60)	365	0.846 (0.543–1.317)	0.458	0.998 (0.540–1.844)	0.994	
BMI (>25 vs. ≤25)	329	0.826 (0.512–1.330)	0.431	1.355 (0.724–2.535)	0.343	
Histologic grade (G3 & G4 vs. G1 & G2)	360	1.086 (0.683–1.728)	0.726	1.513 (0.797–2.869)	0.205	
AFP (ng/ml) (>400 vs. ≤400)	275	0.867 (0.450-1.668)	0.668	0.673 (0.301-1.505)	0.335	
SMARCB1 (High vs. Low)	365	1.671 (1.068–2.615)	0.025	1.053 (0.541–2.050)	0.880	

#### Supplementary Table 14. Univariate and multivariate analysis of SMARCB1 associated with OS of HCC.

Champetonicties	Total	Univariate analys	is	Multivariate analysis		
	(N)	Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.126 (1.481-3.052)	<0.001	0.380 (0.048-3.034)	0.362	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.090 (1.429–3.055)	<0.001	4.264 (0.525–34.630)	0.175	
Age (>60 vs. ≤60)	373	1.205 (0.850–1.708)	0.295	1.600 (0.984–2.601)	0.058	
BMI (>25 vs. ≤25)	336	0.798 (0.550-1.158)	0.235	1.137 (0.702–1.842)	0.602	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.091 (0.761–1.564)	0.636	1.722 (1.042–2.845)	0.034	
AFP (ng/ml) (>400 vs. ≤400)	279	1.075 (0.658–1.759)	0.772	0.946 (0.523–1.710)	0.854	
SMARCB1 (High vs. Low)	373	1.489 (1.053–2.107)	0.024	0.945 (0.561–1.591)	0.831	

#### Supplementary Table 15. Univariate and multivariate analysis of SMARCB1 associated with PFI of HCC.

Characteristics	Total (N)	Univariate analysis		Multivariate analysis		
		Hazard ratio (95% CI)	P value	Hazard ratio (95% CI)	P value	
T stage (T2 & T3 & T4 vs. T1)	370	2.360 (1.745-3.191)	<0.001	0.378 (0.049–2.913)	0.350	
Pathologic stage (Stage II & Stage III & Stage IV vs. Stage I)	349	2.284 (1.670–3.122)	<0.001	4.855 (0.628–37.513)	0.130	
Age (>60 vs. ≤60)	373	0.960 (0.718-1.284)	0.783	1.009 (0.702–1.450)	0.962	
BMI (>25 vs. ≤25)	336	0.936 (0.689–1.272)	0.673	1.083 (0.747–1.570)	0.673	
Histologic grade (G3 & G4 vs. G1 & G2)	368	1.152 (0.853–1.557)	0.355	1.105 (0.759–1.608)	0.603	
AFP (ng/ml) (>400 vs. ≤400)	279	1.045 (0.698–1.563)	0.832	0.894 (0.568–1.408)	0.630	
SMARCB1 (High vs. Low)	373	1.288 (0.963–1.723)	0.088	1.131 (0.771–1.660)	0.530	

		TRIM28 e		
Clinicopathological variabl	es	Negative	Positive	P value
		(n = 46)	(n = 43)	
Age		$52.46 \pm 11.49$	$52.30\pm10.19$	0.947
C	Female	4	5	0 72 4 fisher
Sex	Male	42	38	0.734
	≤20 ng/ml	19	17	0.0CEchisa
Serum AFP	>20 ng/ml	27	26	0.865 <sup>cm3q</sup>
Virus infection	HBsAg	10	9	0 00 cchisa
(HBV)	None	36	34	0.926 <sup>cm3q</sup>
Circlesia	Absent	5	4	1 000fisher
Cirrnosis	Present	41	39	1.000
-	Single	40	39	0.741 <sup>fisher</sup>
Tumor number	Multiple	6	4	
	≤5cm	34	28	0.367 <sup>chisq</sup>
Maximal tumor size	>5cm	12	15	
T 1.4	Absent	21	26	0.162 <sup>chisq</sup>
Tumor encapsulation	Present	25	17	
	I–II	27	15	0.025 <sup>chisq*</sup>
Pathology class	III	19	28	
AJCC	Ι	37	26	0.038 <sup>chisq*</sup>
	II–III	9	17	
TNM stage	Ι	37	26	0.038 <sup>chisq*</sup>
	II–III	9	17	

#### Supplementary Table 16. Correlation between TRIM28 expression and clinicopathological characteristics of HCCs.

Abbreviations: fisher: fisher exact test; chisq: chi-square test; \*p < 0.05.

Supplementary Table 17	'. Correlation between H2A	(expression and	clinicopathological	characteristics of HCCs.
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		H2AX ex		
Clinicopathological variables	5	Negative	Positive	P value
		(n = 58)	(n = 31)	_
Age		$52.40 \pm 11.83$	52.35±8.80	0.776
Sou	Female	5	4	0 71 4 fisher
Sex	Male	53	27	0.714
Somum AED	≤20 ng/ml	26	10	0 25 Ochisa
SerumArr	>20 ng/ml	32	21	0.230
Virus infection	HBsAg	43	27	0 155 chisa
(HBV)	None	15	4	0.155
	Absent	8	1	0 154fisher
Cliniosis	Present	50	30	0.154
Transar	Single	54	25	0.090 <sup>fisher</sup>
Tumor number	Multiple	4	6	
Maximal tumor siza	≤5cm	38	24	0.245 <sup>chisq</sup>
Waximai tumor size	>5cm	20	7	
Tumor encanculation	Absent	30	17	0.779 <sup>chisq</sup>
rumor encapsulation	Present	28	14	
Pathology class	I–II	32	10	0.039 <sup>chisq*</sup>
Tatiology class	III	26	21	
AICC	Ι	46	17	0.016 <sup>chisq*</sup>
AJCC	II–III	12	14	
TNM stage	Ι	46	17	0.016 <sup>chisq*</sup>
1 INIVI Stage	II–III	12	14	

Abbreviations: fisher: fisher exact test; chisq: chi-square test; \*p < 0.05.

		CDK4 ex		
Clinicopathological variabl	es	Negative	Positive	<i>P</i> value
		(n = 46)	(n = 43)	_
Age		53.22±11.56	51.49±10.03	0.454
Sex	Female	4	5	0.724fisher
	Male	42	38	0.734
Serum AFP	≤20 ng/ml	24	12	0.020chisa*
	>20 ng/ml	22	31	0.020 <sup>cmsq</sup>
Virus infection	HBsAg	37	33	0 (71 chisa
(HBV)	None	9	10	0.671 <sup>cmsq</sup>
Circlesia	Absent	4	5	0.724 fisher
Cirriosis	Present	42	38	0.734
T	Single	43	36	0.188 <sup>fisher</sup>
Tumor number	Multiple	3	7	
	≤5cm	33	29	0.085 <sup>chisq</sup>
Maximal tumor size	>5cm	13	4	
T	Absent	20	27	0.068 <sup>chisq</sup>
rumor encapsulation	Present	26	16	
	I–II	27	15	0.025 <sup>chisq*</sup>
Pathology class	III	19	28	
AJCC	Ι	38	15	0.011 <sup>chisq*</sup>
	II–III	8	18	
TNM stage	Ι	38	25	0.011 <sup>chisq*</sup>
	II–III	8	18	

#### Supplementary Table 18. Correlation between CDK4 expression and clinicopathological characteristics of HCCs.

Abbreviations: fisher: fisher exact test; chisq: chi-square test; \*p < 0.05.

#### Supplementary Table 19. Univariate and multivariate analysis of factors with OS of 83 HCCs.

	Survival					
	Univariate analysis				Multivariate	
	HR	95% CI	P value	HR	95% CI	P value
Age	0.999	0.964-1.035	0.949			
Sex (female vs. male)	0.000	0.000–Inf	0.997			
Serum AFP (≤20 vs. >20 ng/ml)	1.837	0.767 - 4.400	0.172			
HBV infection (no vs. yes)	1.083	0.406-2.887	0.874			
Cirrhosis (absent or present)	1.364	0.322-5.786	0.674			
Tumor number (single vs. multiple)	1.251	0.374-4.181	0.716			
Maximal tumor size (≤5 vs. >5 cm)	1.301	0.575-2.946	0.527			
Tumor encapsulation (absent vs. present)	1.473	0.668-3.247	0.337			
TNM stage (II–III vs. I)	2.812	1.279-6.183	$0.010^{*}$	4.061	0.942-17.514	0.060
Pathology class (III vs. I–II)	2.819	1.177-6.752	$0.020^*$	1.083	0.373-3.125	0.883
AJCC (II–III vs. I)	2.019	0.905-4.506	0.086			
TRIM28 (positive vs. negative)	6.487	2.220-18.953	< 0.001	2.310	0.616-8.665	0.124
H2AX (positive vs. negative)	2.492	1.130-5.493	$0.024^{*}$	1.361	0.497-3.724	0.548
CDK4 (positive vs. negative)	7.313	2.504-21.355	< 0.001	3.995	1.119–14.261	0.033*

<sup>\*</sup>P < 0.05.

	Survival					
	Univariate analysis			Multivariate		
	HR	95% CI	P value	HR	95% CI	P value
Age	1.001	0.966-1.038	0.945			
Sex (female vs. male)	0.337	0.046-2.483	0.286			
Serum AFP (≤20 vs. >20 ng/ml)	1.860	0.819-4.224	0.138			
HBV infection (no vs. yes)	1.027	0.416-2.534	0.954			
Cirrhosis (absent or present)	1.585	0.376-6.681	0.530			
Tumor number (single vs. multiple)	1.090	0.329-3.610	0.888			
Maximal tumor size (≤5 vs. >5 cm)	1.066	0.482-2.356	0.875			
Tumor encapsulation (absent vs. present)	1.476	0.698-3.120	0.309			
TNM stage (II-III vs. I)	2.723	1.292-5.716	$0.008^{**}$	1.520	0.522-4.424	0.442
Pathology class (III vs. I-II)	2.520	1.110-5.725	$0.027^{*}$	0.888	0.295-2.672	0.833
AJCC (II–III vs. I)	1.656	0.776-3.537	0.192			
TRIM28 (positive vs. negative)	2.282	1.052-4.951	$0.037^{*}$	0.702	0.261-1.888	0.483
H2AX (positive vs. negative)	2.360	1.122-4.967	$0.024^{*}$	1.734	0.708-4.246	0.228
CDK4 (positive vs. negative)	5.545	2.224-13.702	< 0.001	4.933	1.290–18.867	$0.020^{*}$

#### Supplementary Table 20. Univariate and multivariate analysis of factors with PFS of 83 HCCs.

 $^{*}P < 0.05, \,^{**}P < 0.01.$